091-2228180

For Internal Use Only OMB Approval No.: Submit 1 Original Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: **Investment Company Units** 4. Name of Underlying Instrument: S&P 500 High Momentum Value Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** 6. Ticker Symbol(s) of New Derivative Securities Product: SPVM 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, NASDAQ, NYSE MKT 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): 17002543 Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 April/13, 2017 SEC 2449 (1/99)

APR 1 4 2017



## Via Overnight Mail

April 13, 2017

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: 19b-4(e) - Transmittal

Dear Ms. Ransom:

SEC Mail Processing Section APR 1 4 2017

Washington DC 412 Martha Redding Associate General Counsel Assistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 656 2938 F + 1 212 656 8101 Martha.Redding@theice.com

Securities and Exchange

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Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Cambria Tail Risk ETF (TAIL)

PowerShares S&P 500 Value With Momentum Portfolio (SPVM)

PowerShares S&P SmallCap Quality Portfolio (XSHQ)

JPMorgan Global Bond Opportunities ETF (JPGB)

EquityCompass Risk Manager ETF (ERM)

EquityCompass Tactical Risk Manager ETF (TERM)

SerenityShares Impact ETF (ICAN)

iShares iBonds Dec 2023 Term Muni Bond ETF (IBML)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

| Act                     | Securities Exchange Act of 1934 |  |
|-------------------------|---------------------------------|--|
| Section<br>Rule         | 19b-4<br>19b-4(e)               |  |
| Public<br>Availability: | APR 1 4 2017                    |  |